

# Complexity Zoo and Local Hamiltonian Problem

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# Outline

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## Part I: Complexity Zoo

- Decision problem and language
- Circuit model (logic gate and quantum gate)
- Complexity classes: P and BQP
- More complexity classes: NP, QMA and #P
- Reduction

## Part II: Local Hamiltonian Problem

- Local Hamiltonian and LHP
- How hard is the local Hamiltonian?
- An approach to show a class of LHP in NP(P)
- $1D$  gapped LHP is in P
- Is  $2D$  gapped LHP in NP?

# Preliminary

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Decision problem  $f : \{0, 1\}^* \rightarrow \{0, 1\}$

Counting problem  $f : \{0, 1\}^* \rightarrow \mathbb{N}$

Language  $L = \{s \in \{0, 1\}^* = \cup_{k=1}^{\infty} \{0, 1\}^k : f(s) = 1\}$

Problem size(input size)  $\#$  input bits

Time  $\#$  gates in circuit

decide the language = solving decision problem

e.g. Factoring

Input:  $n, k \in \mathbb{N}$

Output:

- Yes if  $n$  has factor  $< k$ ;
- No, otherwise.

Notice that the problem size(input size) is  $\log(n)$ .

# Circuit Model: logic & quantum gates

## Logic gate

Boolean function on 1 or 2 bits.

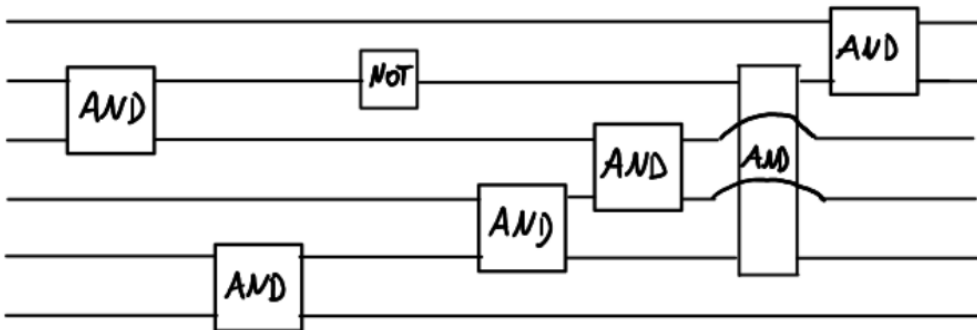
$$G_1 : \{0, 1\} \rightarrow \{0, 1\}$$

$$G_2 : \{0, 1\}^{\times 2} \rightarrow \{0, 1\}$$

e.g.

$$AND(x, y) = \begin{cases} 1, & x = y = 1 \\ 0, & \text{otherwise} \end{cases}$$

$$NOT(x) = \begin{cases} 0, & x = 1 \\ 1, & x = 0 \end{cases}$$



## Quantum gate

Unitary operator on 1 or 2 qubits:

$$U_1 : \mathbb{C}^2 \rightarrow \mathbb{C}^2$$

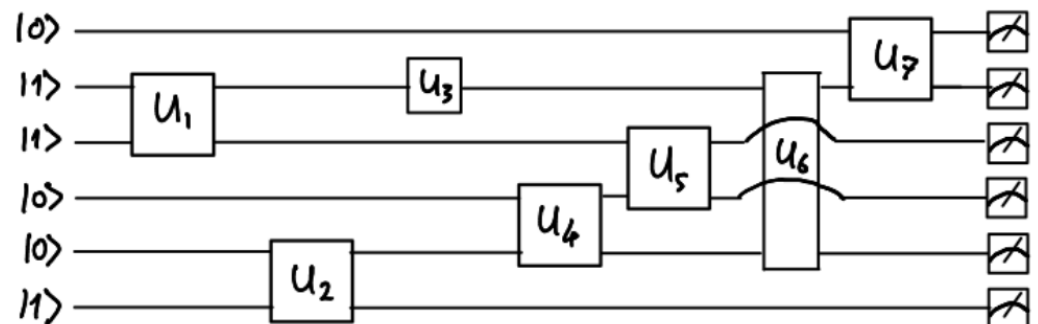
$$U_2 : \mathbb{C}^2 \otimes \mathbb{C}^2 \rightarrow \mathbb{C}^2 \otimes \mathbb{C}^2$$

(where  $U^\dagger U = I$ )

e.g. Hadamard  $H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$

$$CNOT = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

$$= |0\rangle\langle 0| \otimes (|0\rangle\langle 0| + |1\rangle\langle 1|) \\ + |1\rangle\langle 1| \otimes (|1\rangle\langle 0| + |0\rangle\langle 1|)$$



# Circuit Model: Quantum Circuit

## Quantum circuit

finite sequence of quantum gates.

**Input**  $|\psi\rangle$  is "computational basis" state, i.e.  $|\psi\rangle = \otimes_i |x_i\rangle$ ,  $|x_i\rangle \in \{|0\rangle, |1\rangle\}$ .

**Output** outcome of measuring qubits in computational basis.

i.e. measure  $\{\Pi^{(0)}, \Pi^{(1)}\}$  on each qubit, where  $\text{Tr}(\Pi^{(i)}\rho)$ .

## e.g. Universal set

Clifford gate

$$\left\{ \begin{array}{l} \text{Hadamard } H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = \frac{1}{\sqrt{2}} (|0\rangle\langle 0| + |0\rangle\langle 1| + |1\rangle\langle 0| - |1\rangle\langle 1|) \\ \text{Phase } S = \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix} = |0\rangle\langle 0| + i|1\rangle\langle 1| \\ \text{CNOT} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix} = |0\rangle\langle 0| \otimes (|0\rangle\langle 0| + |1\rangle\langle 1|) + |1\rangle\langle 1| \otimes (|1\rangle\langle 0| + |0\rangle\langle 1|) \\ \text{T-gate } T = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\frac{\pi}{4}} \end{pmatrix} \end{array} \right.$$

# Complexity classes: P and BQP

**Uniform circuit family** Sequence of circuits  $C_n$  on  $n$ -bit is uniform if  
 $\exists$  Turing Machine which on input  $n$ , outputs description of  $C_n$  in poly-time.

**P (Polynomial time)** Class of decision problems solvable with uniform family of poly-sized circuit.

- e.g.
- Frustration-free 2-LHP  $\in$  P [Bravyi '06]
  - Determinant  $\in$  P

**BQP (Bounded-error quantum poly. time, so-called "quantum P")**  
 $\exists$  poly-sized uniform quantum circuit  $U$  s.t.

$$\Pr(U \text{ outputs "1"}) = \begin{cases} \geq \frac{2}{3}, & \text{Yes instance} \\ \leq \frac{1}{3}, & \text{No instance} \end{cases}$$

where  $\Pr(U \text{ outputs "1"}) = \text{Tr}[\Pi_1^{(1)} \otimes \mathbb{I}_{2\dots n} U|x\rangle\langle x|U^\dagger] = \langle x|U^\dagger \Pi_1^{(1)} \otimes \mathbb{I}_{2\dots n} U|x\rangle$  and the output is given by the first qubit.

- e.g.
- Factoring  $\in$  BQP [Shor '94]
  - All equivalent quantum computing model is BQP-complete, such as topological(Jones polynomial), adabatic,  $\dots$

# Examples: k-fold Forrelation

## $k$ -fold Forrelation

Given boolean functions  $f_1, \dots, f_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$ , its  $k$ -fold forrelation is the following quality:

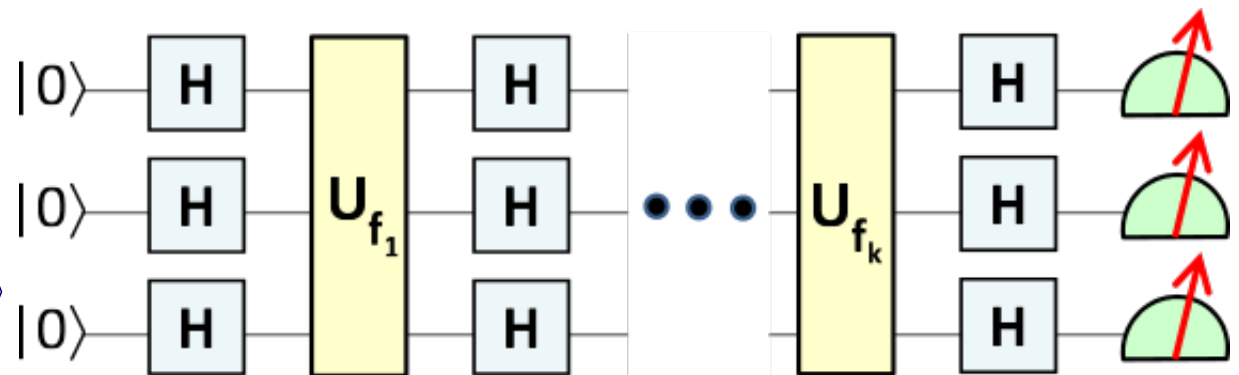
$$\Phi_{f_1, \dots, f_k} = \frac{1}{2^{(k+1)n/2}} \sum_{x_1, \dots, x_k \in \{0, 1\}^n} (-1)^{f_1(x_1)} (-1)^{x_1 \cdot x_2} (-1)^{f_2(x_2)} \dots (-1)^{x_{k-1} \cdot x_k} (-1)^{f_k(x_k)}$$

**Input**  $k$  Boolean circuits  $C_1, \dots, C_k$ , which compute the Boolean functions  $f_j : \{0, 1\}^n \rightarrow \{0, 1\}^n$ .

**Promise** either  $\Phi_{f_1, \dots, f_k} \leq \frac{1}{100}$  or  $\Phi_{f_1, \dots, f_k} \geq \frac{3}{5}$ .

**Output** decide whether  $\Phi_{f_1, \dots, f_k} > \frac{1}{2}$ .

- $x_1 \cdot x_2$  is dot product.
- $H^{\otimes n} |0\rangle^{\otimes n} = 2^{-n/2} \sum_{x_1 \in \{0, 1\}^n} |x_1\rangle$
- $H^{\otimes n} f_1(x_1) \sum_{x_1} |x_1\rangle = 2^{-n} \sum_{x_1, x_2} (-1)^{x_1 \cdot x_2} f_1(x_1) |x_2\rangle$

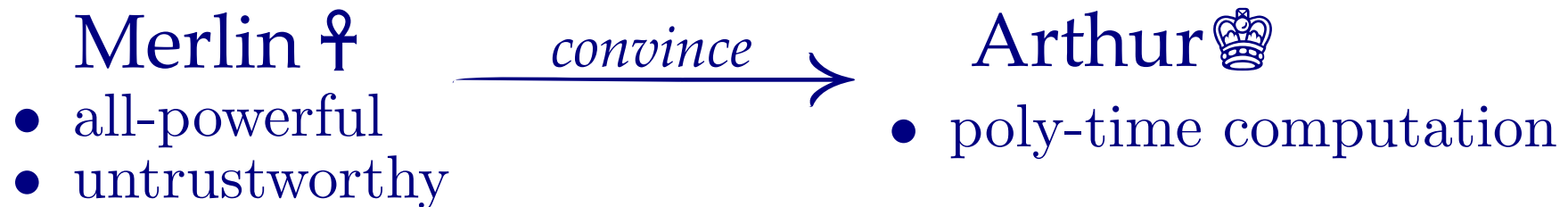


$k$ -fold Forrelation ( $k = \text{poly}(n)$ ) is BQP-complete [Aaronson & Ambainis '14]

# Complexity classes: NP and QMA

NP (non-deterministic poly. time) Class of decision problems for which  $\exists$  polynomial time verifier  $V$  s.t. if answer for input  $x$  is

- Yes:  $\exists$  polynomial-sized "witness"  $w$  s.t.  $V(x, w) = 1$ .
- No:  $\forall$  witness  $w$ ,  $V(x, w) = 0$ .



QMA (quantum Merlin-Arthur, so-called "quantum NP")  $\exists$  poly-sized uniform quantum verifier circuit  $U$  s.t. if answer on (classical) input  $x$  is:

- Yes:  $\exists$  poly.-sized quantum witness  $|w\rangle \in \mathbb{C}^{\text{poly}(n)}$  s.t.  $\Pr(U \text{ outputs "1" on input } |x\rangle|w\rangle) \geq \frac{2}{3}$ .
- No:  $\forall$  states  $|w\rangle$ ,  $\Pr(U \text{ outputs "1" on input } |x\rangle|w\rangle) \leq \frac{1}{3}$ .

e.g.

- $1D$  gapped LHP  $\in$  NP (P)
- Factoring  $\in$  QMA
- Factoring  $\in$  NP
- $k$ -LHP ( $k \geq 2$ ) is QMA-complete
- $k$ -SAT ( $k \geq 3$ ) is NP-complete
- $1D$  LHP on qudits ( $d \geq 8$ ) is QMA-complete



# Local Hamiltonian and LHP

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” $k$ -local” (quantum information) = ” $k$ -body” (physics)

**$k$ -local Hamiltonian** Given  $H = \sum_i h^{(i)} \in (\mathbb{C}^d)^{\otimes n}$ , we say that  $H$  is a  $k$ -local Hamiltonian (or  $H$  is  $k$ -local) if  $\forall i, h^{(i)}$  is  $k$ -local, each interaction involving at most  $k$  particles, where  $h = h_s \otimes \mathbb{I}_{[n]/s}$  and  $S \subset [n]$ .

- $h$  acts non-trivially on subset  $s$  of the particles,  $|s| = k$  if  $k$ -local.
- In general, no requirement that local interactions are geometrically local.

## $k$ -local Hamiltonian problem

Input:  $k$ -local Hamiltonian  $H$  on  $n$ -qudits with  $m$  local terms.

Promise: where  $\lambda_0(H) \leq a$  or  $\lambda_0(H) \geq b$  with  $b - a \geq \frac{1}{poly(n)}$ .

Output: Yes if  $\lambda_0(H) \leq a$ ; No if  $\lambda_0(H) \geq b$ .

- Input is classical description of  $H$ , i.e.  $d^{2k}$  elements for each  $m$  terms.
- w.l.o.g. Input size  $m \leq C_n^k = O(n^k) = poly(n)$ . So matrix entries are restricted to  $poly(n)$  digit of precision.

# Local Hamiltonian: Examples

**Transverse Ising model** 2-local ( $d = 2$ ), gapped, frustrated

$$H = \frac{1}{2\sqrt{1+h^2}} \left[ \sum_{i=1}^{N-1} S_i^x S_{i+1}^x + h \sum_{i=1}^N S_i^z \right]$$

where  $h = 1.1$  and gap  $\Delta \approx 0.07$ .

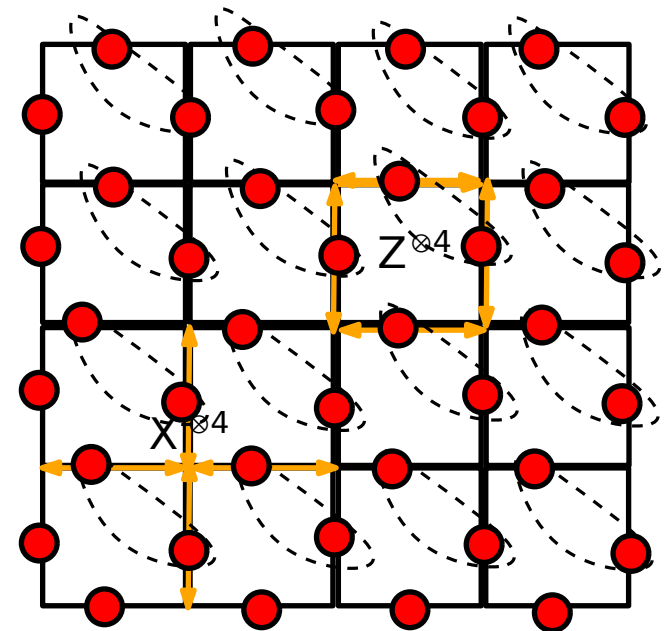
**2D AKLT model on square lattice** 2-local ( $d=5$ ), gapped, frustration-free

$$H_{AKLT}^{s=2} = \frac{1}{14} \sum_{i,j} \left[ S_i \cdot S_j + \frac{7}{10} (S_i \cdot S_j)^2 + \frac{7}{45} (S_i \cdot S_j)^3 + \frac{1}{90} (S_i \cdot S_j)^4 \right]$$

**Toric code** 4-local ( $d = 2$ ) or 3-local ( $d = 4$ ),  
commute, frustration-free

$$H = - \sum_{v \in V} A(v) - \sum_{p \in P} B(p)$$

where vertex operators  $A = X^{\otimes 4}$   
and plaquette operators  $B = Z^{\otimes 4}$ .



# Complexity class: #P

#P (the number of P) A function  $f : \{0, 1\}^n \rightarrow \mathbb{N} \in \#P$  if  $\exists$  polynomial  $p : \mathbb{N} \rightarrow \mathbb{N}$  and a poly-sized uniform classical verifier  $V$  s.t.  $\forall x \in \{0, 1\}^n$ :

$$f(x) = \left| \left\{ y \in \{0, 1\}^{p(|x|)} : V(x, w) = 1 \right\} \right|$$

where  $w$  is poly-sized witness.

e.g. • Permanent is #P-complete.

$$\text{perm}(A) = \sum_{\sigma \in S_n} \prod_{i=1}^n A_{i, \sigma(i)} \quad \det(A) = \sum_{\sigma \in S_n} \text{sgn}(\sigma) \prod_{i=1}^n A_{i, \sigma(i)}$$

• Partition function of classical Ising model is #P-complete.

Consider  $n$  sites system with configuration defined by  $\sigma \in \{0, 1\}$  on each site. Energy of a configuration  $\sigma$  is given by

$$H(\sigma) = - \sum_{i,j} V_{ij} \sigma_i \sigma_j - B \sum_k \sigma_k$$

where  $V_{ij}$  is interaction energies and  $B$  is magnetic field intensity.

And the partition function of the system:

$$Z = \sum_{\sigma \in \{0,1\}^n} \exp(-\beta H(\sigma))$$

where  $\beta$  is the inverse temperature.

# Reduction

How to compare difficulty of different computational problems?

**Poly-time reduction (Karp reduction)**  $A$  reduces to  $B$  if  $\exists$  map  $A \rightarrow B$  with instance  $a \mapsto$  instance  $b$  s.t.  $b$  has answer Yes iff  $a$  has answer Yes. Also the map  $A \rightarrow B$  can be computed by poly-size circuit. Note  $A$  reduces to  $B$  as  $A \leq B$ .

**Hardness** Problem  $B$  is NP-hard if  $\forall A \in \text{NP}, A \leq B$ .

**Completeness** Problem  $A$  is NP-complete if  $A \in \text{NP-hard}$  and  $A \in \text{NP}$ .

- "hardest problems in NP": if you can solve one, you can solve **all** NP problems.
- It can be used as the definition of complexity classes, such as all equivalent models of quantum computation.

- e.g.
- NP-complete: 3-SAT
  - QMA-complete:  $k$ -LHP ( $k \geq 2$ ), 1D LHP on qudit ( $d \geq 8$ )
  - #P-complete: Permanent, classical Ising model's partition function
  - #P-hard: exactly contract PEPS [Schuch&Wolf&Verstraete&Cirac '06]

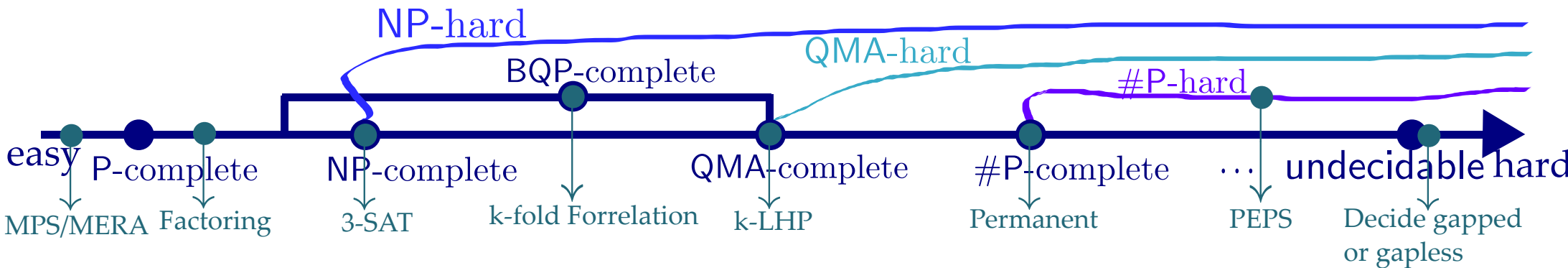
# Complexity Zoo

Separate or Collapse?

$$P \subseteq NP \subseteq QMA \subseteq \#P$$

$$P \subseteq BQP \subseteq QMA \subseteq \#P$$

- **Separate**  $P \subset BQP \subset NP \subset QMA \subset \#P$
- **Collapse**  $P = BQP = NP = QMA = \#P$



## How to interpret these relations?

$P \subseteq BQP, NP \subseteq QMA$  classical = special case of quantum

$P \stackrel{?}{=} NP$  \$1,000,000

$P \stackrel{?}{=} BQP$  Are quantum computers useful?

$BQP \stackrel{?}{=} QMA$  quantum "P-v.s.-NP"

$NP \stackrel{?}{=} BQP$  Are quantum computers such powerful?

# How hard is the local Hamiltonian?

## Quantum Cook-Levin theorem

[Kitaev '99]  $k$ -LHP is QMA-complete ( $k \geq 5$ ).

[Kempe&Kitaev&Regev '05] 2-LHP is QMA-complete.

- Even for 1D system in general, 1D LHP on qudit ( $d \geq 8$ ) is QMA-complete.
- Even for frustration-free systems, 3-LHP is QMA<sub>1</sub>-complete (with perfect completeness).

## Sometimes it is easier...

**Commute** (for local terms in Hamiltonian)

- [Bravyi&Vyalyi '06] 2-CLH on qudit is in NP
- [Aharonov&Eldar '13] 3-CLH on qubit is in NP
- [Schuch '11] 4-CLH on the square lattice is in NP
- Higher dimension of lattice or higher physical dimension ?

**Gapped** (Hamiltonian with spectral gap  $> 0$ )

- [Landau&Vazirani&Vidick '15] 1D gapped LHP is in P
- 2D gapped LHP is in NP (?)
- It connects to area law and tensor network.

# An approach to show a class of LHP is in NP

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g.s.  $|\Omega\rangle$  admits an efficient classical description  
 $\Rightarrow$  a class of LHP is inside NP(P)

1.  $|\Omega_c\rangle$  is described by  $\text{poly}(N)$  classical bits.
2.  $\langle\Omega_c|A|\Omega_c\rangle$  can be efficiently approximated up to  $\|A\|/\text{poly}(N)$  for every local observable  $A$ .
3.  $|\langle\Omega_c|A|\Omega_c\rangle - \langle\Omega|A|\Omega\rangle| \leq \|A\|/\text{poly}(N)$

$|\Omega_c\rangle$  as a classical witness for LHP, since

$$\langle\Omega|H|\Omega\rangle = \sum_X \langle\Omega|h_X|\Omega\rangle \approx \sum_X \langle\Omega_c|h_X|\Omega_c\rangle = \langle\Omega_c|H|\Omega_c\rangle.$$

local operator

# 1D gapped LHP is inside P(NP)

1D area law

$$S(\Omega) \leq \text{const} \\ \text{[Hastings '07]}$$

Efficient description  
approximated up  
to  $\|A\|/\text{poly}(N)$

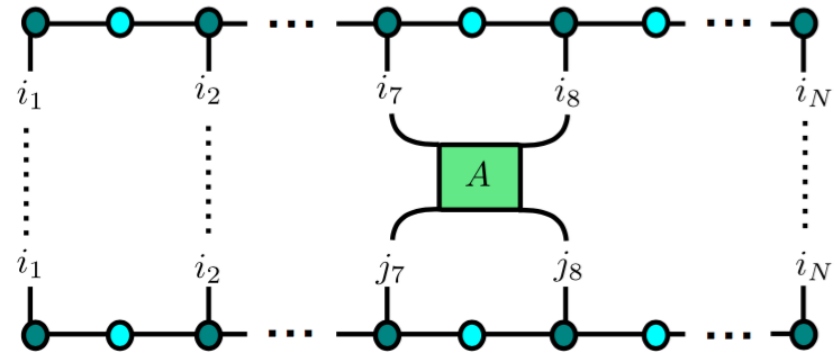
Truncate Schmidt coefficients after  $\text{poly}(N)$   
to get a  $1/\text{poly}(N)$ -approximation of  $|\Omega\rangle$   
 $\Rightarrow$  polynomial MPS [Vidal '03]

Finding  
ground states

Efficiently calculate  
the expectation value

DMRG [White '92]  
iTEBD [Vidal '03]  
DP [LVV '15] (P)

Guarantee by MPS itself  
[Vidal '03]





# Is 2D gapped LHP inside NP?

Area law is not enough

[Ge&Eisert '15]

???

2D area law

Proof is missing...

Since PEPS contraction is #P-hard in general, PEPS is not enough

[Schuch&Wolf&Verstraete&Cirac '06]

decay of correlations

Finding ground states

iPEPS or ...

[Jordan&Orus&Vidal&Verstraete&Cirac '08]

Efficient description approximated up to  $\|A\|/\text{poly}(N)$  for PEPS

**Strong PEPS conjecture** [Schwarz&Buerschaper&Eisert '16]

For all local observables  $O_X$  and any constant  $\epsilon > 0$ , there exists an injective PEPS  $w$  with bond dimension  $D = O(\text{poly}(N), \epsilon^{-1})$  such that its parent Hamiltonian  $H_*$  has a constant spectral gap  $\Delta_* > 0$  and  $|\text{Tr}(O_x \rho) - \text{Tr}(O_x w)| < \epsilon$ .

Efficiently calculate the expectation value

**Quasi-poly time algorithm** [Schwarz&Buerschaper&Eisert '16]

Consider the local patch with correlation length  $\log(N)$  ( $N = \#$  spins) for translation-invariant system. Quasi-polynomial time algorithm  $(Dd)^{O(l^d)}$ , where  $D$  is the bond dimension,  $d$  is the physical dimension and correlation length  $l = O(\log(N))$ .

# Reference & Further Reading

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- *Quantum Computation and Complexity Course* on 2016 Autrans summer school. Toby Cubitt.  
(introductory lecture notes)
- *Classical and Quantum Computation*. Alexei Kitaev, Alexander Shen, Mikhail Vyalyi. 2002.  
(a little technical textbook)
- *Computational Complexity: A Modern Approach*. Sanjeev Arora, Boaz Barak. 2009.  
(technical textbook and a dictionary)
- Chapter 4 in the *Quantum Information Meets Quantum Matter*. Bei Zeng, Xie Chen, Duan-Lu Zhou, Xiao-Gang Wen. 2016.  
(introductory materials)
- *Quantum Hamiltonian Complexity*. QIP 2015 Tutorial. Itai Arad.  
(complexity theory perspective)
- *Matrix Product States and Tensor Network States*. QIP 2017 Tutorial. Norbert Schuch  
(tensor network perspective)
- *Quantum Hamiltonian Complexity*. Sevag Gharibion, Yichen Huang, Zeph Landau, Seung Woo-Shin.

Q&A