

Space-bounded quantum interactive proof systems

François Le Gall ¹ **Yupan Liu** ¹ Harumichi Nishimura ¹ Qisheng Wang ^{2,1}

¹Nagoya University

²University of Edinburgh

Available on arXiv soon.

CS Theory Student Seminar, Columbia University, October 2024

- 1 Space-bounded quantum computation meets interactive proofs
- 2 Definitions of space-bounded quantum interactive proof systems
- 3 Main results on $QIP_{\leq L}$, $QIPL$, and $QSZK_{\leq L}$
- 4 Proof techniques: Upper bounds and properties for $QIP_{\leq L}$ and $QIPL$
- 5 Open problems

What is **space-bounded** quantum computation?

Time-bounded quantum computation (BQP):

- ▶ Uses $\text{poly}(n)$ elementary quantum gates, and thus requires $\text{poly}(n)$ qubits.
- ▶ The goal is to find a *small corner* of a $2^{\text{poly}(n)}$ -dimension Hilbert space that holds the relevant information, which can only be extracted through measurements.

Space-bounded quantum computation (BQL) is introduced in [Watrous'98, Watrous'99]:

- ▶ Limits computation to $O(\log n)$ qubits, but allows $\text{poly}(n)$ quantum gates.
- ▶ A quantum logspace computation operates on a $2^{O(\log n)}$ -dimension Hilbert space, making this model appear weak and contained in NC.

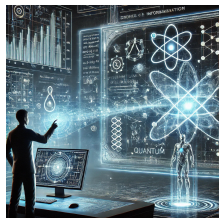
However, BQL has shown *notable* power and gained recent increased attention:

- ◊ INVERTING WELL-CONDITIONED MATRICES [Ta-Shma'13, Fefferman-Lin'16] is BQL-complete, fully saturating the *quadratic* space advantage over classical suggested by $\text{BQL} \subseteq \text{DSPACE}[\log^2(n)]$ [Watrous'99].
- ◊ Intermediate measurements appear to make BQL stronger than BQ_{\cup}L , but provide *no advantage* for promise problems [Fefferman-Remscrem'21, Girish-Raz-Zhan'21].
- ◊ Quantum singular value transformation, a unifying quantum algorithm framework, has a logspace version [Gilyén-Su-Low-Weibe'18, Metger-Yuen'23, Le Gall-L.-Wang'23].

What is (quantum) interactive proofs?

Classical and quantum interactive proof systems

Given a promise problem $(\mathcal{L}_{\text{yes}}, \mathcal{L}_{\text{no}})$, there is an interactive proof system $P \rightleftharpoons V$ that involves at most $\text{poly}(n)$ messages exchanged between the prover P and the verifier V :



The image is generated using OpenAI's DALL-E model.

- ◇ P is typically all-powerful but untrusted;
- ◇ V is computationally bounded, possibly quantum;
- ◇ P and V may share entanglement in a quantum setting.

For any $x \in \mathcal{L}_{\text{yes}} \cup \mathcal{L}_{\text{no}}$, this proof system $P \rightleftharpoons V$ guarantees:

- ▶ For yes instances, $(P \rightleftharpoons V)(x)$ accepts w.p. at least $2/3$;
- ▶ For no instances, $(P \rightleftharpoons V)(x)$ accepts w.p. at most $1/3$.

Classical interactive proofs were introduced in [Babai'85, Goldwasser-Micali-Rackoff'85]:

- 1 Public-coin ($\text{AM}[k+2]$) matches the power of private-coin ($\text{IP}[k]$) [Goldwasser-Sipser'86].
- 2 $\text{IP} = \text{PSPACE}$ [Lund-Fortnow-Karloff-Nisan'90, Shamir'90], but $\text{IP}[O(1)] \subseteq \text{IP}[2] \subseteq \text{PH}$ [B85, GS86].

Quantum interactive proofs were introduced in [Watrous'99, Kitaev-Watrous'00]:

- 1 "Parallelization": $\text{PSPACE} \subseteq \text{QIP} \subseteq \text{QIP}[3]$ [Watrous'99, Kitaev-Watrous'00].
- 2 $\text{QIP}[3] \subseteq \text{QMAM} \subseteq \text{PSPACE}$ [Marriott-Watrous'04, Jain-Ji-Upadhyay-Watrous'09].

What is space-bounded (classical) interactive proofs?

Space-bounded classical interactive proofs were introduced in [Dwork-Stockmeyer'92, Condon'91], where the verifier operates in *logspace* but can run in *polynomial time*.

Public coins *weaken* the computational power of such proof systems:

- ▶ Classical interactive proofs with a logspace verifier using $O(\log n)$ private (random) coins (“IPL”) exactly characterizes NP [Condon-Ladner'92].
 - ◊ Key ingredient: The fingerprinting lemma of multisets [Lipton'90].
- ▶ The model of *public-coin* space-bounded classical interactive proofs is weaker:
 - ◊ With $\text{poly}(n)$ public coins, this model is contained in P [Condon'89].
 - ◊ With $O(\log n)$ public coins, it contains SAC¹ [Fortnow'89]; while with $\text{poly} \log(n)$ public coins, it contains NC [Fortnow-Lund'91].
 - ◊ With $\text{poly}(n)$ public coins, it contains P [Goldwasser-Kalai-Rothblum'15], connecting to *doubly-efficient interactive proofs*, where the prover is also efficient in some sense.

In this work, the verifier has *direct access* to messages during interaction, generalizing the space-bounded quantum Merlin-Arthur proofs (QMAL):

- ▶ **Direct access:** A QMAL verifier has *direct access* to an $O(\log n)$ -qubit message, processing it directly in the verifier's workspace qubit, similar to QMA.
- ▶ QMAL = BQL [Fefferman-Kobayashi-Lin-Morimae-Nishimura'16, Fefferman-Remscrem'21].

- 1 Space-bounded quantum computation meets interactive proofs
- 2 Definitions of space-bounded quantum interactive proof systems**
- 3 Main results on $QIP_{\leq L}$, $QIPL$, and $QSZK_{\leq L}$
- 4 Proof techniques: Upper bounds and properties for $QIP_{\leq L}$ and $QIPL$
- 5 Open problems

1st attempt: Space-bounded UNITARY quantum interactive proofs

Space-bounded *unitary* quantum interactive proofs (QIP_UL)

Consider a $2l$ -turn space-bounded unitary quantum interactive proof system $P \rightleftharpoons V$ for $(\mathcal{L}_{\text{yes}}, \mathcal{L}_{\text{no}})$, where the verifier V operates in quantum logspace and has direct access to messages during interaction with the prover P :



- ▶ The verifier V maps $x \in \mathcal{L}_{\text{yes}} \cup \mathcal{L}_{\text{no}}$ to (V_1, \dots, V_{l+1}) , where each V_j is unitary.
- ▶ Both M and W are of size $O(\log n)$, with M being accessible to both P and V .
- ▶ **Strong uniformity:** The description of (V_1, \dots, V_{l+1}) can be computed by a single deterministic logspace Turing machine, intuitively implying $\{V_j\}$'s *repetitiveness*.

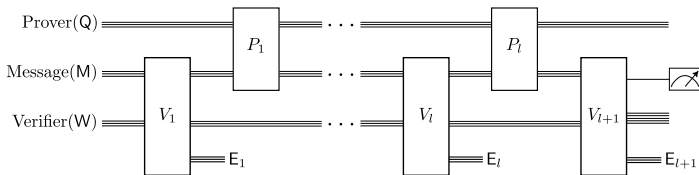
📌 QIP_UL does not contain "IPL", particularly the model from [Condon-Ladner'92]:

- ▶ The prover P can somehow reveal private coins through shared entanglement, meaning soundness against classical messages does not extend to quantum.
- ▶ To show $\text{IP} \subseteq \text{QIP}$, the verifier needs to *measure* the received messages at the beginning of each action, and treat the outcome as classical messages.

2nd attempt: Space-bounded ISOMETRIC quantum interactive proofs

Space-bounded *isometric* quantum interactive proofs (QIPL[◇])

Consider a $2l$ -turn space-bounded isometric quantum interactive proof system $P \rightleftharpoons V$ for $(\mathcal{L}_{\text{yes}}, \mathcal{L}_{\text{no}})$, where V acts on $O(\log n)$ qubits and has direct access to messages:



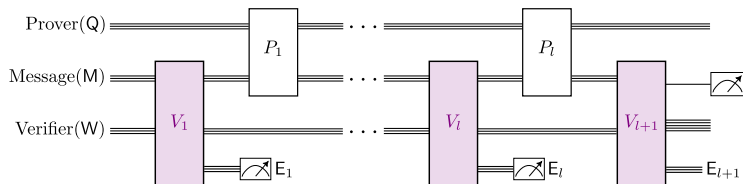
- ▶ Each V_j is an *isometric* quantum circuit, specifically allowing $O(\log n)$ ancillary gates that introduce an ancillary qubit $|0\rangle$ in the environment register E_j .
- ▶ Each environment register E_j is *only accessible* in the round of V_j belongs.
- ▶ The qubits in E_j cannot be altered after V_j , but entanglement with W can change!

📌 QIPL[◇] contains the Condon-Ladner model (“IPL”), but it appears too powerful:

- ▶ For instance, P can send an n -qubit state using $\lceil n/\log n \rceil$ messages of $(\log n)$ -qubit states, while V takes only $O(\log n)$ qubits without P detecting the choices.
- ▶ QIPL[◇] can verify the local Hamiltonian problem, and thus contains QMA:
 - ◇ A similar observation appeared in [Gharibian-Rudolph'22] on a *streaming* version of QMAL.

3rd attempt: Space-bounded quantum interactive proofs (QIPL)

Consider a $2l$ -turn space-bounded quantum interactive proof system $P \rightleftharpoons V$ for $(\mathcal{L}_{\text{yes}}, \mathcal{L}_{\text{no}})$, where V acts on $O(\log n)$ qubits and has direct access to messages:



- ▶ Each V_j is an *almost-unitary* quantum circuit, meaning a unitary quantum circuit with $O(\log n)$ intermediate measurements in the computational basis.
 - ◊ QIPL also contains the Condon-Ladner model (“IPL”).
- ▶ Applying the *principle of deferred measurements* to this almost-unitary quantum circuit V_j transforms it into **a special class of isometric quantum circuits**, followed by *measuring* the register E_j , with the outcome denoted by u_j .
- ▶ For yes instances, the distribution of intermediate measurement outcomes $u = (u_1, \dots, u_l)$, condition on acceptance, must be *highly concentrated*.
 - ◊ This requirement leads to the NP containment for any QIPL proof system.
 - ◊ Specifically, let $\omega(V)^|u$ be the contribution of u to $\omega(V)$, where $\omega(V)$ is the maximum acceptance probability of $P \rightleftharpoons V$. There must exist a u^* such that $\omega(V)^|u^* \geq c(n)$.

- 1 Space-bounded quantum computation meets interactive proofs
- 2 Definitions of space-bounded quantum interactive proof systems
- 3 Main results on $QIP_{\cup L}$, $QIPL$, and $QSZK_{\cup L}$**
- 4 Proof techniques: Upper bounds and properties for $QIP_{\cup L}$ and $QIPL$
- 5 Open problems

Main results on QIP_{UL} and QIPL

Theorem 1. QIPL = NP.

- ▶ QIPL is the *weakest* model that includes space-bounded classical interactive proofs, ensuring that soundness against classical messages extends to quantum.
- ▶ The lower bound is inspired by space-bounded (private-coin) classical interactive proof systems for NP, particularly 3-SAT, in [Condon-Ladner'95].

Theorem 2. $\text{SAC}^1 \cup \text{BQP} \subseteq \text{QIP}_{UL} \subseteq \bigcup_{c(n)-s(n) \geq 1/\text{poly}(n)} \text{QIPL}_{O(1)}[c, s] \subseteq \text{P}$.

- ▶ Intermediate measurements enhance the model: $\text{QIP}_{UL} \subsetneq \text{QIPL}$ unless $\text{P} = \text{NP}$.
- ▶ QIP_{UL} proof systems, regarded as the most natural space-bounded analog to QIP, do not achieve the aforementioned soundness guarantee.
- ▶ The lower bound is inspired by space-bounded classical interactive proof systems with $O(\log n)$ public coins for evaluating SAC^1 circuits [Fortnow'89].
 - ◊ It is known that $\text{NL} \subseteq \text{SAC}^1 = \text{LOGCFL} \subseteq \text{AC}^1 \subseteq \text{NC}^2$ [Venkateswaran'91].

Theorem 3. For any $c(n) - s(n) \geq \Omega(1)$, $\text{QIPL}_{O(1)}[c, s] \subseteq \text{NC}$.

- ▶ For constant-turn space-bounded quantum proofs, all three models are equivalent!
- ▶ An exponentially down-scaling version of $\text{QIP} = \text{PSPACE}$ [Jain-Ji-Upadhyay-Watrous'09].

Main results on space-bounded *unitary* quantum statistical zero-knowledge

Zero-knowledge property. A QIP_{UL} proof system has *the zero-knowledge property* if there is a *space-bounded* simulator that well approximates the snapshot states (“the verifier’s view”) in (M, W) after each turn, with respect to the trace distance.

- ▶ QSZK_{ULHV} and QSZK_{UL} are space-bounded variants of quantum statistical zero-knowledge against an honest and arbitrary verifier, QSZK_{HV} and QSZK, respectively, introduced in [Watrous’02] and [Watrous’09].

Theorem 4. QSZK_{UL} = QSZK_{ULHV} = BQL.

The INDIVPRODQSD $[k, \alpha, \delta]$ problem (INDIVIDUAL PRODUCT STATE DISTINGUISHABILITY)

involves two k -tuples of $O(\log n)$ -qubit states, $\sigma_1, \dots, \sigma_k$ and $\sigma'_1, \dots, \sigma'_k$, whose purifications can be prepared by unitary quantum logspace circuits, satisfying

$\alpha(n) - k(n) \cdot \delta(n) \geq 1/\text{poly}(n)$ and $1 \leq k(n) \leq \text{poly}(n)$, with the following conditions:

- ◊ For *yes* instances, the k -tuples are *globally far*, i.e., $T(\sigma_1 \otimes \dots \otimes \sigma_k, \sigma'_1 \otimes \dots \otimes \sigma'_k) \geq \alpha$.
- ◊ For *no* instances, the k -tuples are *pairwise close*, i.e., $\forall j \in [k], T(\sigma_j, \sigma'_j) \leq \delta$.

QSZK_{ULHV} \subseteq BQL follows since INDIVPRODQSD is QSZK_{ULHV}-complete:

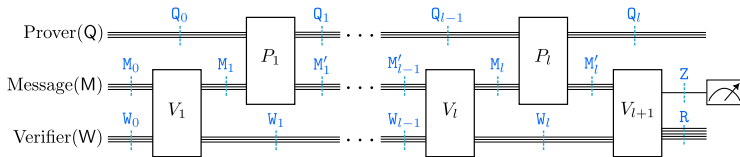
- ▶ The complement of INDIVPRODQSD is QSZK_{ULHV}-hard, similar to [Watrous’02].
- ▶ Since INDIVPRODQSD implies an “existential” version of GAPQSD_{log}, which is BQL-complete [Le Gall-L.-Wang’23], it follows that INDIVPRODQSD \in QMAL \subseteq BQL.

- 1 Space-bounded quantum computation meets interactive proofs
- 2 Definitions of space-bounded quantum interactive proof systems
- 3 Main results on $QIP_{\leq L}$, $QIPL$, and $QSZK_{\leq L}$
- 4 Proof techniques: Upper bounds and properties for $QIP_{\leq L}$ and $QIPL$
- 5 Open problems

Proof techniques: Upper bounds for QIPL and QIP_{UL}

Our approach is inspired by the SDP formulation for QIP [Vidick-Watrous'16].

$\text{QIPL}_{O(1)} = \text{QIP}_{UL_{O(1)}} \subseteq \text{P}$. Consider a $2l$ -turn $\text{QIPL}_{O(1)}$ proof system $P \rightleftharpoons V$, with $l \leq O(1)$. Let $\rho_{M_j W_j}$ and $\rho_{M'_j W_j}$, for $j \in [l]$, be snapshot states in the registers (M, W) after the verifier's and prover's action in the j -th round in $P \rightleftharpoons V$, respectively.



In this SDP formulation, we consider the following:

- ◇ Variables \Leftrightarrow The snapshot states $\rho_{M'_j W_j}$ for $j \in [l]$ after each prover action;
- ◇ Objection function \Leftrightarrow Maximum acceptance probability $\omega(V)$.

These variables are **independent** due to the *unitary* verifier. The SDP constraints are:

- 1 Verifier is always honest:

$$\rho_{M_j W_j} = V_j \rho_{M'_{j-1} W_{j-1}} V_j^\dagger \text{ for } j \in \{2, \dots, l\}, \text{ and } \rho_{M_1 W_1} = V_1 |\bar{0}\rangle\langle \bar{0}|_{MW} V_1^\dagger.$$

- 2 Prover's actions do not change the verifier's private register:

$$\text{Tr}_{M_j}(\rho_{M_j W_j}) = \text{Tr}_{M'_j}(\rho_{M'_j W_j}) \text{ for } j \in [l].$$

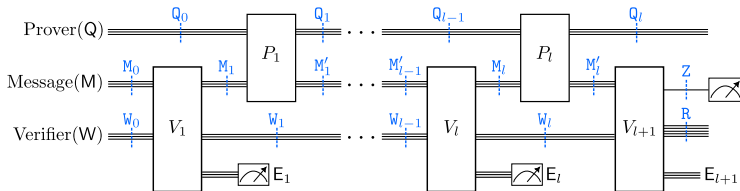
As any SDP solution holds $O(\log n)$ qubits, standard SDP solvers ensure the efficiency.

Proof techniques: Upper bounds for QIPL and QIP_UL (Cont.)

QIPL \subseteq NP. Now the verifier's actions are *almost-unitary* quantum circuits.

There is a family of SDP programs depending on the measurement outcome $\{u\}$:

- ◊ Variables \Leftrightarrow The *unnormalized* snapshot states $\rho_{M_j W_j} \otimes |u_j\rangle\langle u_j|_{E_j}$ for $j \in [l]$.
- ◊ Objection function $\Leftrightarrow \omega(V)^|u|$, namely the contribution of u to $\omega(V)$.



For a given $u = (u_1, \dots, u_l)$, the SDP program includes two types of constraints:

- ① Verifier is always honest: Let $\rho_{M'_0 W_0} := |\bar{0}\rangle\langle \bar{0}|_{MW}$, then

$$\rho_{M_j W_j} \otimes |u_j\rangle\langle u_j|_{E_j} = (I_{M_j W_j} \otimes |u_j\rangle\langle u_j|_{E_j}) V_j \rho_{M'_{j-1} W_{j-1}} V_j^\dagger \text{ for } j \in [1].$$
- ② Prover's actions do not change the verifier's private register:

$$\text{Tr}_{M_j} (\rho_{M_j W_j} \otimes |u_j\rangle\langle u_j|_{E_j}) = \text{Tr}_{M'_j} (\rho_{M'_j W_j} \otimes |u_j\rangle\langle u_j|_{E_j}) \text{ for } j \in [l].$$

Next, we explain the NP containment:

- ▶ The classical witness w includes an l -tuple u and a feasible poly-size solution.
- ▶ The verification procedure involves checking whether (1) the solution encoded in w satisfies the SDP constraints based on u ; and (2) $\omega(V)^|u| \geq c(n)$.

Proof techniques: Basic properties for QIPL and QIP_UL

Theorem 5 (Properties for QIPL and QIP_UL). Let $c(n)$, $s(n)$, and $m(n)$ be functions such that $0 \leq s(n) < c(n) \leq 1$, $c(n) - s(n) \geq 1/\text{poly}(n)$, and $1 \leq m(n) \leq \text{poly}(n)$. Then, we have:

① **Closure under perfect completeness.**

$$\text{QIPL}_m[c, s] \subseteq \text{QIPL}_{m+2}\left[1, 1 - \frac{1}{2}(c-s)^2\right] \text{ and } \text{QIP}_{U\text{L}}_m[c, s] \subseteq \text{QIP}_{U\text{L}}_{m+2}\left[1, 1 - \frac{1}{2}(c-s)^2\right].$$

② **Error reduction.** For any polynomial $k(n)$, there is a polynomial $m'(n)$ such that:

$$\text{QIPL}_m[c, s] \subseteq \text{QIPL}_{m'}[1, 2^{-k}] \text{ and } \text{QIP}_{U\text{L}}_m[c, s] \subseteq \text{QIP}_{U\text{L}}_{m'}[1, 2^{-k}].$$

③ **Parallelization.** $\text{QIP}_{U\text{L}}_{4m+1}[1, s] \subseteq \text{QIP}_{U\text{L}}_{2m+1}[1, (1 + \sqrt{s})/2]$.

Proof Sketch.

- ▶ Theorem 5 ① is directly adapted from [Vidick-Watrous'16].
- ▶ Theorem 5 ② uses *sequential repetition* due to the space constraint, with the key being to **force the prover to “clean” the workspace**.
- ▶ For establishing Theorem 5 ③:
 - ◇ The original approach in [Kitaev-Watrous'00] fails, since it requires sending all snapshot states in a single message, which *exceeds* logarithmic size.
 - ◇ The turn-halving approach in [Kempe-Kobayashi-Matsumoto-Vidick'07] works, a “dequantized” version of the above approach, which leverages the *reversibility* of the verifier's actions.

- 1 Space-bounded quantum computation meets interactive proofs
- 2 Definitions of space-bounded quantum interactive proof systems
- 3 Main results on $QIP_{U,L}$, $QIPL$, and $QSZK_{U,L}$
- 4 Proof techniques: Upper bounds and properties for $QIP_{U,L}$ and $QIPL$
- 5 Open problems

Conclusions and open problems

Take-home messages on our work

- 1 Intermediate measurements play a *distinct* role in space-bounded quantum interactive proofs compared to space-bounded quantum computation:

$\text{QIP}_{\text{UL}} \subsetneq \text{QIPL}$ unless $\text{P} = \text{NP}$ (this work), while $\text{BQ}_{\text{UL}} = \text{BQL}$ [FR21, GRZ21].

- 2 We define three models of space-bounded quantum interactive proofs:

	QIP_{UL}	QIPL	QIPL°
Verifier's actions	unitary	almost-unitary	isometry
Lower bounds	$\text{SAC}^1 \cup \text{BQL}$ "IPL" with $O(\log n)$ public coins	NP "IPL" with $O(\log n)$ private coins	QMA
Upper bounds	P	NP	PSPACE

- 3 Introducing the *zero-knowledge* property for QIP_{UL} proof systems, i.e., QSZK_{UL} , eliminates the usual advantage gained from interaction ($\text{QSZK}_{\text{UL}} = \text{BQL}$).

Open problems

- 1 Is it possible to obtain a tighter characterization of QIP_{UL} ? For example, does QIP_{UL} contain "IPL" with $\omega(\log n)$ public coins?
- 2 What is the computational power of space-bounded quantum interactive proofs with a general quantum logspace verifier that allows "erasure" gates?

Thanks!