

Space-bounded quantum interactive proof systems

François Le Gall ¹ **Yupan Liu** ³ Harumichi Nishimura ¹ Qisheng Wang ^{2,1}

¹Nagoya University

²University of Edinburgh

³Nagoya University → École Polytechnique Fédérale de Lausanne

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- 1 Space-bounded quantum computation meets interactive proofs
- 2 Definitions of space-bounded quantum interactive proof systems
- 3 Main results
- 4 Open problems

What is **time-bounded** quantum computation?

Basic ingredients in (time-bounded) quantum computation:

► **Qubit.** $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$, where $\langle\psi|\psi\rangle = |\alpha|^2 + |\beta|^2 = 1$, $|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$, and $|1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$.

► **Quantum state.** An n -qubit (pure) state is a vector $|\Psi\rangle \in \mathbb{C}^{2^n}$ satisfying $\langle\Psi|\Psi\rangle = 1$.

In general, an n -qubit (mixed) quantum state ρ is a positive semi-definite matrix of dimension $2^n \times 2^n$ such that $\text{Tr}(\rho) = 1$.

► **Quantum gate.** Elementary quantum gates G_i (from some universal gateset) are unitary matrices act on one or two qubits, e.g., $G_i \in \{\text{CNOT}, \text{Had}, \text{T}\}$:

$$|0\rangle^{\otimes n} \xrightarrow{G_1} G_1 |0\rangle^{\otimes n} \xrightarrow{G_2} G_2 G_1 |0\rangle^{\otimes n} \rightarrow \dots$$

► **Measurement.** Projective measurement in computational basis $\{|0\rangle\langle 0|, |1\rangle\langle 1|\}$:

$$|0\rangle \longrightarrow \boxed{U} \longrightarrow \boxed{\text{meter}} = b \in \{0, 1\}$$

Time-bounded quantum computation (BQP):

► Uses $\text{poly}(n)$ elementary quantum gates, and thus requires **poly(n)** qubits.

► The goal is to find a *small corner* of an **exponential-dimension** Hilbert space that holds the relevant information, which can only be extracted through performing measurements.

Intermediate measurements in (space-bounded) quantum computation

Intermediate measurements implemented by *single-qubit pinching channels*:

$$\Phi(\rho) := \text{Tr}(\rho |0\rangle\langle 0|) |0\rangle\langle 0| + \text{Tr}(\rho |1\rangle\langle 1|) |1\rangle\langle 1|.$$

📌 Removes *coherence*, leaving only diagonal terms in the post-measurement states.

Principle of deferred measurements

Intermediate measurements are *useless* in time-bounded quantum computation:



📌 Eliminate intermediate measurements by introducing ancillary qubits!

Space-bounded quantum computation (BQL) is introduced in [Watrous'98, Watrous'99]:

- ▶ Limits computation to $O(\log n)$ qubits, but allows $\text{poly}(n)$ quantum gates.
- ▶ A quantum logspace computation operates on a *polynomial-dimension* Hilbert space, making this model appear weak and contained in NC.
- 📌 Principle of deferred measurements *doesn't* apply to quantum logspace in general!

How powerful is **space-bounded** quantum computation?

However, BQL has shown *notable* power and gained recent increased attention:

- ▶ INVERTING WELL-CONDITIONED MATRICES [Ta-Shma'13, Fefferman-Lin'16] is BQL-complete, fully saturating the *quadratic* space advantage over classical suggested by $\text{BQL} \subseteq \text{DSPACE}[\log^2(n)]$ [Watrous'99].
- ▶ Intermediate measurements appear to make BQL stronger than BQ_{UL} :
 - ◇ Using the principle of deferred measurements, $O(\log n)$ intermediate measurements can be eliminated by introducing ancillary qubits.
 - ◇ Allowing both $\text{poly}(n)$ pinching intermediate measurements and even *reset operations* provide **no advantage** for promise problems [Fefferman-Remscrem'21, Girish-Raz-Zhan'21]: $\text{BQL} = \text{BQ}_{\text{UL}}$.
- 📌 These new techniques *don't* extend to *state-synthesizing* tasks!
- ▶ Quantum singular value transformation, a unifying quantum algorithm framework, has a logspace version [Gilyén-Su-Low-Weibe'18, Metger-Yuen'23, Le Gall-L.-Wang'23].
 - ◇ Another example (GAPQSD_{\log}) showing a space advantage over classical!
 - ◇ GAPQSD_{\log} is BQL-complete [LLW23], previously only in NC [Watrous'02].
- ★ **Corollary** ([this work](#)): Space-bounded *unitary* quantum statistical zero-knowledge (QSZK_{UL}) is in BQL.

What is (classical) **interactive proofs**?

Classical interactive proof systems



* The image is generated using OpenAI's DALL-E model.

Given a promise problem $(\mathcal{L}_{\text{yes}}, \mathcal{L}_{\text{no}})$, there is an interactive proof system $P \rightleftharpoons V$ that involves at most $\text{poly}(n)$ messages exchanged between the prover P and the verifier V :

- ◇ P is typically all-powerful but untrusted;
- ◇ V is computationally bounded, and use *random bits*;

For any $x \in \mathcal{L}_{\text{yes}} \cup \mathcal{L}_{\text{no}}$, this proof system $P \rightleftharpoons V$ guarantees:

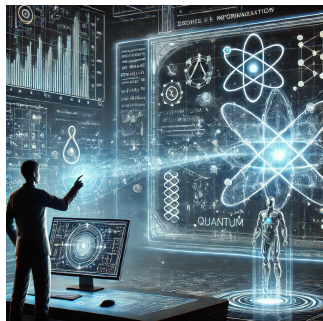
- For *yes* instances, $(P \rightleftharpoons V)(x)$ accepts w.p. at least $2/3$;
- For *no* instances, $(P \rightleftharpoons V)(x)$ accepts w.p. at most $1/3$.

Classical interactive proofs were introduced in [Babai'85, Goldwasser-Micali-Rackoff'85]:

- 1 Asking random questions (i.e., *public coins*) is as powerful as asking clever questions (i.e., *private coins*):
 $\text{IP}[k] \subseteq \text{AM}[k+2]$ [Goldwasser-Sipser'86].
- 2 *Constantly* many messages: $\text{IP}[O(1)] \subseteq \text{AM} \subseteq \text{PH}$ [Babai'85, Goldwasser-Sipser'86].
- 3 *Polynomially* many messages: $\text{IP} = \text{PSPACE}$ [Lund-Fortnow-Karloff-Nisan'90, Shamir'90].

What is quantum interactive proofs?

Quantum interactive proof systems



* The image is generated using OpenAI's DALL-E model.

Given a promise problem $(\mathcal{L}_{\text{yes}}, \mathcal{L}_{\text{no}})$, there is an interactive proof system $P \rightleftharpoons V$ that involves at most $\text{poly}(n)$ quantum messages exchanged between P and V :

- ◇ P is typically all-powerful but untrusted;
- ◇ V is bounded and capable of quantum computation;
- ◇ P and V may become entangled during the interaction.

For any $x \in \mathcal{L}_{\text{yes}} \cup \mathcal{L}_{\text{no}}$, this proof system $P \rightleftharpoons V$ guarantees:

- For yes instances, $(P \rightleftharpoons V)(x)$ accepts w.p. at least $2/3$;
- For no instances, $(P \rightleftharpoons V)(x)$ accepts w.p. at most $1/3$.

Quantum interactive proofs were introduced in [Watrous'99, Kitaev-Watrous'00]:

- 1 "Parallelization": $\text{PSPACE} \subseteq \text{QIP} \subseteq \text{QIP}[3]$ [Watrous'99, Kitaev-Watrous'00].
- 2 $\text{QIP}[3] \subseteq \text{PSPACE}$ [Marriott-Watrous'04, Jain-Ji-Upadhyay-Watrous'09].

What is space-bounded (classical) interactive proofs?

Space-bounded classical interactive proofs were introduced in [Dwork-Stockmeyer'92, Condon'91], where the verifier operates in *logspace* but can run in *polynomial time*.

Public coins *weaken* the computational power of such proof systems:

- ▶ Classical interactive proofs with a logspace verifier using private (random) coins:
 - ◇ With $O(\log n)$ private coins, this model (“IPL”) exactly characterizes NP [Condon-Ladner'92].
 - ◇ With $\text{poly}(n)$ private coins, this model exactly characterizes PSPACE [Condon'91].
- ▶ The model of *public-coin* space-bounded classical interactive proofs is weaker:
 - ◇ With $\text{poly}(n)$ public coins, this model is contained in P [Condon'89].
 - ◇ With $O(\log n)$ public coins, it contains SAC^1 [Fortnow'89], enabling *bounded* fan-in AND.
 - ◇ With $\text{poly}(n)$ public coins, it contains P [Goldwasser-Kalai-Rothblum'15].

In this work, the verifier has *direct access* to messages during interaction, generalizing the space-bounded quantum Merlin-Arthur proofs (QMAL):

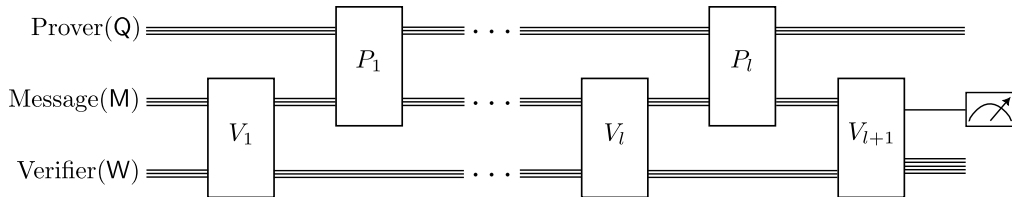
- ▶ **Direct access:** A QMAL verifier has *direct access* to an $O(\log n)$ -qubit message, processing it directly in the verifier's workspace qubit, similar to QMA.
- ▶ $\text{QMAL} = \text{BQL}$ [Fefferman-Kobayashi-Lin-Morimae-Nishimura'16, Fefferman-Remscrem'21].

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1st attempt: Space-bounded UNITARY quantum interactive proofs

Space-bounded *unitary* quantum interactive proofs (QIP_{UL})

Consider a $2l$ -turn space-bounded unitary quantum interactive proof system $P \rightleftharpoons V$ for $(\mathcal{L}_{\text{yes}}, \mathcal{L}_{\text{no}})$, where the verifier V operates in quantum logspace and has direct access to messages during interaction with the prover P :



- ▶ The verifier V maps $x \in \mathcal{L}_{\text{yes}} \cup \mathcal{L}_{\text{no}}$ to (V_1, \dots, V_{l+1}) , where each V_j is unitary.
- ▶ Both M and W are of size $O(\log n)$, with M being accessible to both P and V .
- ▶ **Strong uniformity:** The description of (V_1, \dots, V_{l+1}) can be computed by a single deterministic logspace Turing machine, intuitively implying $\{V_j\}$'s *repetitiveness*.

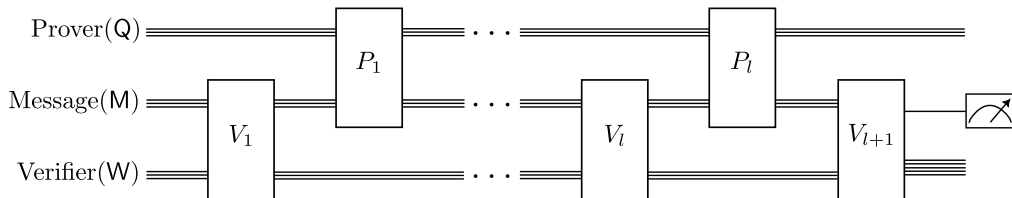
★ QIP_{UL} does not contain "IPL", particularly the model from [Condon-Ladner'92]:

- ▶ To show $\text{IP} \subseteq \text{QIP}$, the verifier needs to *measure* the received messages at the beginning of each action, and treat the outcome as classical messages.
- 📌 **Soundness against classical messages does not (directly) extend to quantum!**

2nd attempt: Space-bounded ISOMETRIC quantum interactive proofs

Space-bounded *isometric* quantum interactive proofs (QIPL[◇])

Consider a $2l$ -turn space-bounded isometric quantum interactive proof system $P \rightleftharpoons V$ for $(\mathcal{L}_{\text{yes}}, \mathcal{L}_{\text{no}})$, where V acts on $O(\log n)$ qubits and has direct access to messages:



- Each V_j is a unitary quantum circuit with $O(\log n)$ *pinching* intermediate measurements and reset operations.

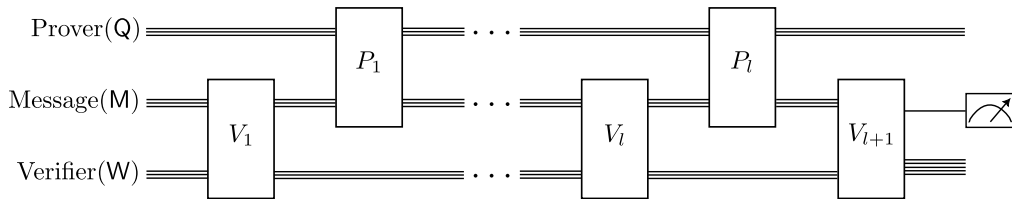
📌 QIPL[◇] contains the Condon-Ladner model ("IPL"), but it appears too powerful:

- For instance, the prover P can send an n -qubit state using $\lceil n/\log n \rceil$ messages, each consisting of an $O(\log n)$ -qubit state, and the verifier V randomly selects only $O(\log n)$ qubits without revealing the choice to P .
- QIPL[◇] can verify the local Hamiltonian problem, and thus contains QMA.

3rd attempt: Space-bounded quantum interactive proofs

Space-bounded quantum interactive proofs (QIPL & QIPL^{HC})

Consider a $2l$ -turn space-bounded quantum interactive proof system $P \rightleftharpoons V$ for $(\mathcal{L}_{\text{yes}}, \mathcal{L}_{\text{no}})$, where V acts on $O(\log n)$ qubits and has direct access to messages:



- ▶ Each V_j is an *almost-unitary* quantum circuit, meaning that a unitary quantum circuit with $O(\log n)$ *pinching* intermediate measurements.
- ▶ QIPL^{HC}: For yes instances, the distribution of intermediate measurement outcomes $u = (u_1, \dots, u_l)$, condition on acceptance, must be *highly concentrated*.
 - ◊ Intuitively, this condition may be interpreted as the prover's messages being **almost classical** for yes instances.
- ▶ Both QIPL and QIPL^{HC} also contain the Condon-Ladner model ("IPL")!

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Main results on QIP_{UL} and QIPL

Theorem 1. $\text{NP} = \text{QIPL}^{\text{HC}} \subseteq \text{QIPL}$.

- ▶ QIPL^{HC} is the *weakest* model that includes space-bounded classical interactive proof systems, particularly the Condon-Ladner model (“IPL”).
- ▶ **New technique:** *Directly* upper-bounding quantum interactive proof systems with *non-unitary* verifier, whereas existing techniques only handle *unitary* verifier.

Theorem 2. $\text{SAC}^1 \cup \text{BQL} \subseteq \text{QIP}_{\text{UL}} \subseteq \bigcup_{c(n)-s(n) \geq 1/\text{poly}(n)} \text{QIPL}_{O(1)}[c, s] \subseteq \text{P}$.

- 📌 Intermediate measurements enhance the model: $\text{QIP}_{\text{UL}} \subsetneq \text{QIPL}$ unless $\text{P} = \text{NP}$.

Theorem 3. For any $c(n) - s(n) \geq \Omega(1)$, $\text{QIPL}_{O(1)}[c, s] \subseteq \text{NC}$.

- ▶ For constant-turn space-bounded quantum proofs, all three models are equivalent!

Main results: Proof intuitions for *upper* bounds (*unitary* verifier)

Theorem 2. $\text{SAC}^1 \cup \text{BQL} \subseteq \text{QIP}_{\text{UL}} \stackrel{\text{a}}{\subseteq} \cup_{c(n)-s(n) \geq 1/\text{poly}(n)} \text{QIPL}_{O(1)}[c, s] \stackrel{\text{b}}{\subseteq} \text{P}.$

a **Parallelization** for QIP_{UL} proof systems:

- ◇ The original approach in [Kitaev-Watrous'00] fails, since it requires sending all snapshot states in a single message, which *exceeds* logarithmic size.
- ◇ The turn-halving approach in [Kempe-Kobayashi-Matsumoto-Vidick'07] works, a “dequantized” version of the above approach, which leverages the *reversibility* and *dimension preservation* of the verifier's actions.

b Adapting the SDP formulation for QIP [Vidick-Watrous'16] to QIP_{UL} proof systems:

- ◇ For any *constant*-round QIP_{UL} proof system, the corresponding SDP admits *polynomial-size* solutions, ensuring P containment via standard SDP solvers.

📌 **Parallelization makes QIP_{UL} easy!**

Theorem 3. For any $c(n) - s(n) \geq \Omega(1)$, $\text{QIPL}_{O(1)}[c, s] \subseteq \text{NC}.$

- ◇ An exponentially down-scaling version of $\text{QIP} = \text{PSPACE}$ [Jain-Ji-Upadhyay-Watrous'09].

Main results: Proof intuitions for *upper* bounds (*non-unitary* verifier)

Theorem 1. $\text{NP} = \text{QIPL}^{\text{HC}} \subseteq \text{QIPL}$.

In $P \rightleftharpoons V$, let $\omega(V)|^u$ denote the contribution of the branch $u = (u_1, \dots, u_l)$ to the maximum acceptance probability $\omega(V) = \sum_u \omega(V)|^u$, where u_k denotes the intermediate measurement outcome in the verifier's k -th turn ($1 \leq k \leq l$).

- ▶ Pinching measurements eliminate coherence between subspaces corresponding to different branches, allowing $\omega(V)|^u$ to be approximately optimized *in isolation*.
- ▶ Therefore, for any QIPL proof system $P \rightleftharpoons V$ with a **fixed** branch u , one can write a SDP formulation, which computes an approximation $\hat{\omega}(V)|^u$ of $\omega(V)|^u$ satisfying

$$\omega(V)|^u \leq \hat{\omega}(V)|^u \leq \omega(V).$$

- ▶ **NP containment:** Noting that a solution to this SDP formulation can be written as a *Cartesian* product of a polynomial number of $\tilde{O}(\log n)$ -qubit states (i.e., *snapshot states* in $P \rightleftharpoons V$), we can verify the SDP feasibility of this solution in NP.

Main results: Proof intuitions for *lower* bounds

Theorem 2. $\text{SAC}^1 \cup \text{BQL} \subseteq \text{QIP}_{\text{UL}} \subseteq \bigcup_{c(n)-s(n) \geq 1/\text{poly}(n)} \text{QIPL}_{O(1)}[c, s] \subseteq \text{P}.$

- ▶ **Key idea:** Simulating $O(\log n)$ public coins in space-bounded classical interactive proof systems by performing $O(\log n)$ pinching measurements.
- ▶ The lower bound ($\text{SAC}^1 \subseteq \text{QIP}_{\text{UL}}$) is inspired by space-bounded classical interactive proof systems *with* $O(\log n)$ public coins for evaluating (uniform) SAC^1 circuits [Fortnow'89].

Theorem 1. $\text{NP} = \text{QIPL}^{\text{HC}} \subseteq \text{QIPL}.$

- ▶ **Key idea:** Simulating $O(\log n)$ private coins in space-bounded classical interactive proof systems by
 - 1 Measuring each $O(\log n)$ -qubit message received from the prover in the proof system;
 - 2 Performing $O(\log n)$ pinching measurement to generate $O(\log n)$ random coins.
- ▶ The lower bound ($\text{NP} \subseteq \text{QIPL}^{\text{HC}}$) is inspired by space-bounded classical interactive proof systems *with* $O(\log n)$ private coins for NP (i.e., 3-SAT) in [Condon-Ladner'95].

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Conclusions and open problems

Take-home messages on our work

- 1 Intermediate measurements play a *distinct* role in space-bounded quantum interactive proofs compared to space-bounded quantum computation:

$\text{QIP}_{\text{UL}} \subsetneq \text{QIPL}$ unless $\text{P} = \text{NP}$ (this work), while $\text{BQ}_{\text{UL}} = \text{BQL}$ [FR21, GRZ21].

- 2 We define three models of space-bounded quantum interactive proofs:

	QIP_{UL}	QIPL	QIPL^\diamond
Verifier's actions	unitary	almost-unitary	isometry
Lower bounds	$\text{SAC}^1 \cup \text{BQL}$ "IPL" with $O(\log n)$ public coins	$\text{NP}(= \text{QIPL}^{\text{HC}})$ "IPL" with $O(\log n)$ private coins	QMA
Upper bounds	P	PSPACE	PSPACE

- 3 Introducing the *zero-knowledge* property for QIP_{UL} proof systems, i.e., QSZK_{UL} , eliminates the usual advantage gained from interaction ($\text{QSZK}_{\text{UL}} = \text{BQL}$).

Open problems

- 1 Can QIP_{UL} be more tightly characterized with a stronger lower bound?
- 2 What is the computational power of the classes QIPL and QIPL^\diamond ?

Thanks!